Docket No.: SAEGU64.001DV1

INFORMATION DISCLOSURE STATEMENT

Ápplicant

KIKUTA, et al.

App. No.

10/637,450

Filed

August 8, 2003

For

NON-AQUEOUS SECONDARY

**BATTERY AND ITS CONTROL** 

**METHOD** 

Examiner

Gregg Cantelmo

Group Art Unit

1745

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing twenty five (25) references that are of record in U.S. patent application No. 09/700,988, filed February 5, 2001, which is the parent of this Divisional application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: September 3, 2004

10637450

By:

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FORM PTO-1449

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. SAEGU64.001DV1 APPLICATION NO. 10/637,450

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT KIKUTA, et al.

TUSE SEVERAL SHEETS IF NECESSARY)

FILING DATE GROUP August 8, 2003 1745

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,451,477	09/1955	Omaru, et al.			
	2	5,569,520	10/1996	Bates, John B.			
	3	5,856,043	01/1999	Ohsaki, et al.			-
	4	5,951,959	09/1999	Nishimura, Yoshiyuki			
	5	6,040,078	03/2000	Fauteux, et al.			
	6	6,087,036	07/2000	Rouillard, et al.			
	7	6,156,459	12/2000	Negoro, et al.			
	8	6,664,006	12/2003	Munshi, M. Zafar A.			

	FOREIGN PATENT DOCUMENTS							
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
	9	JP 57-208079	12/21/82	Japan				
	10	JP 63-024555	02/01/88	Japan				
	11	JP 04-368778	12/21/92	Japan				
	12	JP 05-159757	06/25/93	Japan				
	13	JP 05-283105	10/29/93	Japan				
	14	JP 07-57788	03/03/95	Japan				
	15	JP 07-282797	10/27/95	Japan ,				
	16	JP 08-138727	05/31/96	Japan				
	17	JP 08-148189	06/07/96	Japan				
	18	JP 08-182212	07/12/96	Japan ,				
	19	JP 09-213286	08/15/97	Japan				
	20	JP 09-219213	08/18/97	Japan				
	21	JP 09-28042	01/28/97	Japan				
	22	EP 808798 A2	11/1997	Europe				
	23	EP 675555 B1	07/1999	Europe				

EXAMINER	
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DATE CONSIDERED

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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. SAEGU64.001DV1	APPLICATION NO. 10/637,450
SEP 0 9 2004	APPLICANT KIKUTA, et al.	
(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE August 8, 2003	GROUP 1745

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	24	D. Linden,"Handbook of Batteries," McGraw-Hill, Inc., 2 <sup>nd</sup> Edition, 1995, pages 3.1-3.20 and 36.1-36.76
	25	U. Koehler, et al,"High Performance Nickel-Metal Hydride and Lithium ion Batteries" Proceedings of the Intersociety Energy Conversion Engineering Conference (1997), 32 <sup>nd</sup> , pages 93-98

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EXAMINER DATE CONSIDERED



Case Docket No. SAEGU64.001DV1

Date: September 3, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

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Appl. No.

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For

:

NON-AQUEOUS

SECONDARY BATTERY AND

ITS CONTROL METHOD

Examiner

Gregg Cantelmo

Group Art Unit:

1745

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 3, 2004

(Date)

Katsuhiro Arai, Reg. No. 43,315

## TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with 25 references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

The PTO did not receive the following listed item(s) Cuecks )

Katsuhiro Arai Registration No. 43,315 Attorney of Record Customer No. 20,995 (949) 760-0404